

UTILITY/DESIGN PATENT
Docket No. T57.2-10161

DECLARATION

As a below-named inventor, I(wc) hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type:

- ☒ original
- ☐ design
- ☐ supplemental
- ☐ national stage of PCT
- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part (CIP)

INVENTORSHIP DECLARATION

My residence, post office address, and citizenship are as stated below next to my name;

I verily believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MICROPARTICLE TAGGANT SYSTEMS

the specification of which:

- a) ☐ is being filed concurrently herewith
- b) ☒ was filed on November 30, 2001 and assigned Serial No. 09/997,483
- c) ☒ was filed as PCT International Application No. _____ filed on _____ and amended under PCT Article 19 on _____.

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56.

- ☐ In compliance with this duty there is attached an Information Disclosure Statement.
37 CFR 1.97.

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d), of any foreign application(s) for patent or inventor's certificate or of any PCT International applications(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international applications(s) designating at least one country other than the United States of America filed by me having the same subject matter having a filing date before that of the application on which priority is claimed.

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35 United States Code, §119(e) of any United States provisional application identified below.

U.S. APPLICATIONS	
APPLICATION NUMBER	U.S. FILING DATE
1.	
2.	

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. §120

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) or PCT International applications(s) designating the United States of America that is/are listed below.

U.S. APPLICATIONS	
APPLICATION NUMBER	U.S. FILING DATE
1.	
2.	
PCT APPLICATIONS DESIGNATING THE U.S.	
PCT APPLICATION NO.	PCT FILING DATE
3.	

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Telephone calls and correspondence should be directed to: Walter J. Steinkraus, at
Customer No. 490. Telephone: (952) 563-3000, Facsimile: (952) 563-3001.

First Inventor

Full name:

Daniel R. Hunt

Inventor's signature:

Date:

3/27/08

Citizenship:

US

Post office Address:

Residence:
(if different than above)5116 Red Oak Drive
Mounds View, MN 55112

Second Inventor

Full name:

Charles S. Hanson

Inventor's signature:

Charles S. Hanson

Date:

2/27/02

Citizenship:

United States

Post office Address:

20610 207th Court West
Lakeville, MN 55044Residence:
(if different than above)

UTILITY/DESIGN PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Daniel R. Hunt
Title:	MICROPARTICLE TAGGANT SYSTEMS
Filed:	<input type="checkbox"/> concurrently herewith
	<input checked="" type="checkbox"/> on 11/30/01 and assigned Serial No. 09/997,485

Commissioner for Patents
Washington, DC 20231

Docket No: T57.2-10161

POWER OF ATTORNEY FROM ASSIGNEE

As assignee of record of the entire interest of the above identified patent application, Tracking Technologies, Inc. hereby appoint all practitioners of Customer No. 490 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. I hereby authorize them to act and rely on instructions from, and to communicate directly with, the firm or person which sent this case to Vidas, Arrett & Steinkraus, P.A., unless or until I instruct Vidas, Arrett & Steinkraus P.A., in writing to the contrary.

Address all correspondence to Walter J. Steinkraus at Customer Number 490.Dated this 27th day of February, 2002

(Company Name)

Tracking Technologies, Inc.

(Signature)

By:

(Typed Name)

Daniel R. Hunt

(Title)

Its:

President

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Daniel R. Hunt and Charles S. Hanson
Application No.:	Not Assigned
Filed:	Not Assigned
For:	MICROPARTICLE TAGGANT SYSTEMS
Group Art Unit:	Not Assigned

Mail Stop _____
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Docket No.: T57.2N-10161-US02

ASSIGNEE'S STATEMENT OF OWNERSHIP 37 CFR 3.73(B)

Tracking Technologies, Inc. (name of assignee), a MN corporation
(e.g., corporation, partnership, university, government agency etc.) is the assignee of the
entire right, title and interest in the patent application identified above by virtue of:

A. ☒ An assignment from the inventor(s) of the patent application
identified above. A photocopy of the Assignment is enclosed.

OR

B. ☐ A chain of title from the inventor(s), of the patent application
identified above, to the current assignee as shown below:

1. From : _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof
is attached.
2. From : _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof
is attached.

☐ Additional documents in the chain of title are listed on a
supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are
attached.

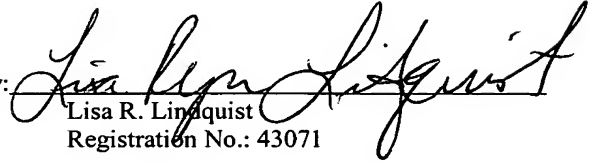
The undersigned is empowered to sign this statement of ownership certificate on behalf of the assignee.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: November 18, 2003

By:


Lisa R. Linquist
Registration No.: 43071

6109 Blue Circle Drive, Suite 2000
Minnetonka, MN 55343-9185
Telephone: (952) 563-3000
Facsimile: (952) 563-3001

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COPY

COPY

UTILITY/DESIGN PATENT

Docket No. T57.2-10161

ASSIGNMENT

WHEREAS, I(we), Daniel R. Hunt, 5116 Red Oak Drive, Mounds View, Minnesota 55112, residing at have invented and own the entire United States right, title and interest in an invention for:

MICROPARTICLE TAGGANT SYSTEMS

disclosed in my (our) application for United States Letter Patent filed:

- ☐ concurrently herewith
☒ on November 30, 2001 and assigned Serial No. 09/997,485; and

I (we) hereby authorize and request any attorney of Vidas, Arrett & Steinkraus, P.A., Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9185, to insert the filing date and application number of said application above when known.

WHEREAS, Tracking Technologies, Inc. ("Assignee"), a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having its principal place of business at 1290 Osborne Road Northeast, Suite E, Minneapolis, MN 55432-2838, is desirous of acquiring the entire right, title, and interest in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon;

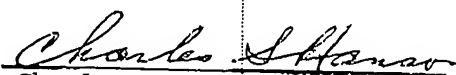
NOW, THEREFORE, Be It Known that for good and valuable consideration, the receipt of all of which is hereby acknowledged, I(we) hereby sell, assign, and transfer unto Assignee, its successors, and assigns, the entire right, title and interest, legal and equitable, in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon; and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent and all Design Letters Patent which may be granted to said invention to Assignee.

Dated: 2/27/02

First Inventor's Signature:
First Inventor's Name:


Daniel R. HuntDated: 2/27/02

Second Inventor's Signature:
Second Inventor's Name:


Charles S. Hanson
20610 207th Court West
Lakeville, MN 55044 -US citizen

Dated: _____

Third Inventor's Signature:
Third Inventor's Name:

Dated: _____

Fourth Inventor's Signature:
Fourth Inventor's Name:

COPY

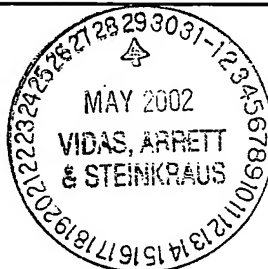
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PTAS

VIDAS, ARRETT & STEINKRAUS, P.A.
WALTER J. STEINKRAUS
SUITE 2000
6109 BLUE CIRCLE DRIVE
MINNETONKA, MN 55343-9185



Chief Information Officer
Washington, DC 20231
www.uspto.gov



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/14/2002

REEL/FRAME: 012711/0781
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HUNT, DANIEL R.

DOC DATE: 02/27/2002

ASSIGNOR:

HANSON, CHARLES S.

DOC DATE: 02/27/2002

ASSIGNEE:

TRACKING TECHNOLOGIES, INC.
1290 OSBORNE ROAD NORTHEAST, SUITE
E
MINNEAPOLIS, MINNESOTA 55432-2838

SERIAL NUMBER: 09997485
PATENT NUMBER:

FILING DATE: 11/30/2001
ISSUE DATE:

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

03-28-2002

Form PTO-1595
1-31-92U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Daniel R. Hunt and Charles S. Hanson

2. Name and address of receiving party(ies):

Name: Tracking Technologies, Inc.

Additional name(s) of conveying party(ies)
attached? ☐ Yes ☒ No

Internal Address:

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: 1290 Osborne Road Northeast, Suite E

City: Minneapolis State: MN Zip: 55432-2838

Execution Date: February 27, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s)
09/997,485

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document should be
mailed:Walter J. Steinkraus
Vidas, Arrett & Steinkraus, P.A.
Suite 2000, 6109 Blue Circle Drive
Minnetonka, MN 55343-91856. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account Number: 22-0350
(Attach duplicate of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Walter J. Steinkraus

Signature

March 4, 2002

Date

Total number of pages including cover sheet, assignment, and check:

OMB No. 0651-011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

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